

3621

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Dated: April 25, 2005

Signature:


(Jeffrey S. Dickey)

Docket No.: SONY 3.0-022
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:
Ludtke et al.

Application No.: 10/014,960

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: J. M. Winter

For: METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: April 25, 2005

Respectfully submitted,

By


Jeffrey S. Dickey

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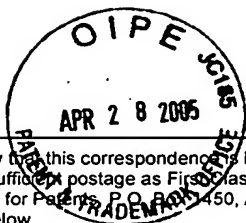
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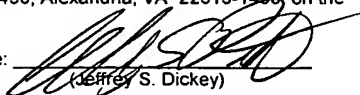
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REQUEST FOR CONSIDERATION OF INFORMATION UNDER 37 CFR § 1.97 (C)

Dear Sir:

It is respectfully requested that the references cited in the enclosed form be considered pursuant to 37 C.F.R. § 1.97(c). Please charge deposit account No. 12-1095 in the amount of \$180.00 pursuant to 37 C.F.R. § 1.17(p). In the event that any additional fee is due in connection with the present request, the same should be charged to our deposit account No. 12-1095.

Dated: April 25, 2005

Respectfully submitted,

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PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/014,960	
			Filing Date	December 11, 2001	
			First Named Inventor	Harold A. Ludtke	
			Art Unit	3621	
			Examiner Name	J. M. Winter	
Sheet	1	of	3	Attorney Docket Number	SONY 3.0-022

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-2001/00449061-A1	11-22-2001	KANEVSKY et al.	
	AB	US-2002/0147914	10-10-2002	Arnold	
	AC	US-3,741,214	01-18-2001	smith	
	AD	US-4,582,985	04-15-1986	Lofberg	
	AE	US-5,056,019	10-08-1991	Schultz, et al.	
	AF	US-5,173,851-A	12/1992	OFF ET AL.	
	AG	US-5,256,863-A	10/1993	FERGUSON ET AL.	
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	AK	US-5,615,277	03-25-1997	Hoffman	
	AL	US-5,623,552	04-22-1997	Lane	
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	AR	US-5,870,723	02-09-1999	Pare, Jr. et al.	
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	AY	US-5,948,040	09-07-1999	DeLorme, et al.	
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	AB1	US-6,002,770	12-14-1999	Tomko et al.	
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Examiner Signature				Date Considered	



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				Application Number	10/014,960
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	J. M. Winter
Sheet	2	of	3	Attorney Docket Number	SONY 3.0-022

	AT1	US-6,270,011-B1	08-07-2001	Gottfried	
	AU1	US-6,279,112-B1	08/2001	O'TOOLE ET AL.	
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	AD2	US-6,662,166-B2	12-09-2003	Pare, Jr. et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO-95/13591	05-18-1995	Takhar, et al.		
	BB	WO-01/050428-A1	07-12-2001	Mitchell		
	BC	WO-01/59732-A2	08-16-2001	Shore		
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	BE	EP-1 085 424-A1	03-21-2001	Yasukura et al.		
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	BG	EP-1 033 687-A2	09-06-2000	Alt et al.		
	BH	EP-0917119A2	05-19-1999			

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	DRURY; Demand Jumps For High-Tech ID Producer; Business First; 22 October 2001; Vol. 18, No. 3, page 1.	
	CB	Co-pending Patent No. 09/466,965, Authentication System, Fingerprint Identification Unit, and Authentication Method, filed December 20, 1999.	
	CC	PACK: "Traditional Retailers Plan Interactive Stores", Orlando Sentinel, May 25, 1995.	
	CD	U.S. Patent Application No. 10/014,960, filed December 11, 2001, entitled "Method Or System For Executing Deferred Transactions".	
	CE	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".	
	CF	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".	
	CG	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".	

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Sheet	3	of	3	Attorney Docket Number	SONY 3.0-022

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